

DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: CRAIG WALKENBACH 2011 CHAPEL PLAZA CT, STE 3 COLUMBIA, MO 65203

Tracking ID 306575

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Craig Walkenbach and the Division of Consumer Affairs ("Division") of the Department of Insurance, Financial Institutions and Professional Registration, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Insurance, Financial Institutions and Professional Registration, State of Missouri (hereinafter, "Director" of the "Department"), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to

insurance;

WHEREAS, Craig Walkenbach ("Walkenbach") is an individual insurance producer licensed with the Department, pursuant to Chapter 375, RSMo;

WHEREAS, the Division has received information concerning Walkenbach's failure to submit to the insurers the proper information as to whether an insurance applicant has existing policies or contracts, which is a violation of 20 CSR 400-5.400 (3) (A) and subjects Walkenbach to enforcement action by the Director;

WHEREAS, Walkenbach has been informed of his right to counsel and of his right to contest any attempt by the Department to discipline his insurance producer license, and states that he understands his rights to contest any such actions;

AND WHEREAS, Walkenbach acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by Walkenbach, in which action the Director or the Division alleges that the violations described herein form part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Walkenbach are committed knowingly, intentionally or in conscious disregard of the law, that he failed to submit to the insurers the proper information as to whether an insurance applicant has existing policies or contracts, which is a violation of 20 CSR 400-5.400 (3) (A).

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Walkenbach does hereby voluntarily and knowingly surrender and forfeit the sum of one thousand two hundred fifty dollars (\$1,250.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.

Walkenbach shall submit this sum to the Department by cashier's check or money order

made payable to the State School Moneys Fund no later than April 16, 2019.

The parties agree that, should the Director or the Division in the future allege an additional violation of the insurance laws or regulations by Walkenbach nothing in this Agreement shall preclude the Director or the Division from introducing Walkenbach's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious

disregard of the law.

DATED: 4/09/19

Craig Walkenbach License #0188053

DATED: 4/25/19

Carrie Couch, Director Division of Consumer Affairs

DATED: 04 26 2019

Chlora Lindley-Myers, Director Department of Insurance, Financial Institutions and Professional Registration

Return original to:

Dennis A. Fitzpatrick, CPCU, CIE, CCP, CICSR, MCM, APIR, AIRC, ALMI, AINS, ACS Missouri Department of Insurance, Financial Institutions and Professional Registration PO Box 4001 Jefferson City, MO 65102